

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 1404588   |
| <b>Application Number:</b>                  | 10767699  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 5670  |
| <b>Title of Invention:</b>                  | System and method for controlling the disclosure of a trading order |
| <b>First Named Inventor/Applicant Name:</b> | Thomas J. Daley   |
| <b>Customer Number:</b>                     | 5073  |
| <b>Filer:</b>                               | Samir A Bhavsar/Christine Fortier                                   |
| <b>Filer Authorized By:</b>                 | Samir A Bhavsar   |
| <b>Attorney Docket Number:</b>              | 069547.0174   |
| <b>Receipt Date:</b>                        | 28-DEC-2006   |
| <b>Filing Date:</b>                         | 29-JAN-2004   |
| <b>Time Stamp:</b>                          | 15:28:19  |
| <b>Application Type:</b>                    | Utility   |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

### File Listing:

| Document Number | Document Description                         | File Name   | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|--|-------------|------------------|------------------|------------------|
| 1               | Information Disclosure Statement (IDS) Filed | 0174IDS.pdf | 85703            | no               | 3                |

**Warnings:**

**Information:**

This is not an USPTO supplied IDS fillable form

|   |               |         |        |    |   |
|---|---------------|---------|--------|----|---|
| 2 | NPL Documents | NPL.pdf | 267237 | no | 6 |
|---|---------------|---------|--------|----|---|

**Warnings:****Information:**

|                                     |        |
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| <b>Total Files Size (in bytes):</b> | 352940 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.